



2823

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9 / 15s
S-9-03
Roster

In re Patent Application of:
Kazuichiro ITONAGA et al.
Serial No. 09/662,004
Filed: September 14, 2000
For: METHOD OF FORMING INSULATING
FILM AND METHOD OF FABRICATING
SEMICONDUCTOR DEVICE

Examiner: William M. Brewster
Group Art Unit: 2823

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on April 28, 2003.

Linda Ritter
Linda Ritter

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Enclosed is a Notice of Reasons of Rejection dated April 1, 2003, for a corresponding Japanese patent application together with the references cited therein.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached

form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

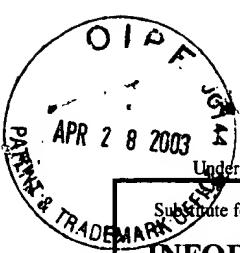
The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.
(740819-418)

Respectfully submitted,

By: Donald R. Studebaker
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/662,004
Filing Date	September 14, 2000
First Named Inventor	Kazuichiro ITONAGA
Art Unit	2823
Examiner Name	William M. Brewster
Attorney Docket Number	740819-418

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ Number ⁴ (if known)			
WB	X	JP 11-121448	04-30-1999	Kitajima et al.	X AB
WB	1	JP 7-131023	05-19-1995	Takeda	AB
WB	1	JP 7-115204	05-02-1995	Kan et al.	AB
WB	1	JP 5-19296	01-29-1993	Mukai et al.	AB
WB	X	JP 63-90138	04-21-1988	Murakami et al.	X AB

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
WB		NOTICE OF REASONS OF REJECTION (DATED April 1, 2003)	

Examiner Signature	William M. Brewster	Date Considered	AFULP 3
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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